

12/08/98



#2

PATENT

Docket No. 2016-4005US1

Express Mail Label No. EI086496261US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of



Named Inventor(s) and  
Address(es):

Milan S. Blake, 8521 Beauford Avenue, Fulton, MD 20759, USA;  
John B. Zabriskie, 1385 York Avenue, New York, NY 10021, USA;  
Joseph Y. Tai, 1370 Cinnamon Drive, Fort Washington, PA 19034, USA; and  
Francis Michon, 4401 Rosedale Avenue, Bethesda, MD 20814, USA.

For:

GROUP A STREPTOCOCCAL POLYSACCHARIDE IMMUNOGENIC  
COMPOSITIONS AND METHODS

Enclosed are:

[X] 41 page(s) of specification, 1 page(s) of Abstract, 11 page(s) of claims

[X] 9 sheets of drawing [X] formal [ ] informal

[X] 6 page(s) of Declaration and Power of Attorney

[ ] Unsigned

[ ] Newly Executed

[X] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[X] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[ ] Microfiche Computer Program (Appendix)

[ ] \_\_\_\_\_ page(s) of Sequence Listing

[ ] computer readable disk containing Sequence Listing

[ ] Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

08/23/2016 12:00:00

- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
  - ☐ English translation documents
- ☒ Information Disclosure Statement
  - ☐ Copy of \_\_\_\_ cited references
  - ☒ Copy of PTO-1449 filed in parent application serial No. 08/231,229.
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
  - ☐ A check in the amount of \$40.00 for recording the Assignment.
  - ☒ Assignment papers filed in parent application Serial No. 08/231,229.
  - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/231,229.
  - ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
  - ☒ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
  - ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
  - ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
  - ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
  - ☐ Transfer the drawing(s) from the patent application to this application.
- ☒ Amend the specification by inserting before the first line the sentence:  
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 08/231,229 filed April 21, 1994.

## I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	19	0		\$760.00
Independent Claims	3	-20=	x\$18.00	\$0
Multiple Dependent Claims		-3=	x\$78.00	\$0
[ ] yes				
[X] no				
Additional Fee =			\$260.00	
Add'l Fee =			NONE	\$0

Total: \$ 760.00

- [ ] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ \_\_\_\_\_.
- [X] A check in the amount of \$ 760.00 in payment of the application filing fees is attached.
- [ ] Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2016-4005US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN &amp; FINNEGAN, L.L.P.

Dated: December 8, 1998

By: Darryl H. Steensma  
 Darryl H. Steensma  
 Registration No. 43,155

## CORRESPONDENCE ADDRESS:

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FORM: UTL-TRAN.NY  
 Rev. 11/13/98

PATENT  
Docket No. 2016-4005US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Blake, M. et al. Group Art Unit: To Be Assigned  
Serial No. : To Be Assigned Examiner: To Be Assigned  
Filed : Herewith  
For : GROUP A STREPTOCOCCAL POLYSACCHARIDE IMMUNOGENIC  
COMPOSITIONS AND METHODS

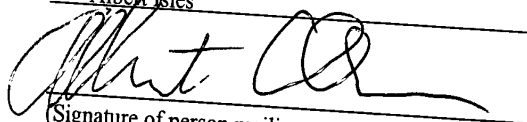
EXPRESS MAIL CERTIFICATE

Express Mail Label No. EI086496261US

Date of Deposit December 8, 1998

I hereby certify that the following attached paper(s) and/or fee Specification (41 pages); claims (11 pages);  
abstract (1 page); drawings (9 pages) Declaration (6 pages); Form PTO-1449; Utility Application and Application  
Fee Transmittal (1.53(b)) (in duplicate); Preliminary Amendment (including Declaration of Maclyn McCarty);  
Check in the amount of \$760.00; and return receipt postcard, is being deposited with the United States Postal  
Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is  
addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Albert Isles

  
(Signature of person mailing  
paper(s) and/or fee)

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